



**THE COMPLIANCE &
ETHICS ACADEMY**
A Legasis Initiative

Certified Compliance and Ethics Professional Course

**A 3 day extensive
certificate course on
Compliance and Ethics**

**Venue: Ritz Carlton, Bengaluru
Date: 19th - 21st July, 2018
Time: 9am - 6pm**

"It was a well organised and an enlightening programme that highlighted the importance of Compliance, both as a spirit as well as a function. Being at the Academy was pleasure and knowledge enriching experience. It was good to hear from people like Mr. Tuljapurkar, Mr. Sharma and Dr. Shantaram."

- Rishi Vyas, Manager Secretarial, Asian Paints Limited

The Compliance & Ethics Academy, Legasis Services' latest initiative in association with BCIC, is proud to present the third edition of the "Certified Compliance and Ethics Professional Course". Our course offerings have been specifically designed to help professionals in adhering to the best compliance and ethics practices by strengthening their value base even in adverse circumstances that are constantly hitting the business industry, the most recent ones being PNB Scam, GST Scandal and many more.

Objectives of this course are:

- Benchmarking compliance and ethics practices in line with the latest trends
- Imparting and enhancing the requisite skills to manage a compliance and ethics program
- Addressing the knowledge and implementation gap in ethics and compliance program
- Unearthing the reasons behind the board-room battles
- Sensitising need of ethical practice on economic, financial, managerial and environmental aspects of business

Special Offering:

- Experienced Professionals and seasoned faculty from relevant domains will guide you through the ambiguities of compliance and organisational ethics and everything you should know about it
- Legasis extends to you, LegaComply - A Tool kit, which is a Do-It-Yourself Compliance framework
- Facilitates interactive sessions and exchange of ideas between Compliance Professionals

A Preview of the Course Curriculum:

- Fundamentals of Compliance and Ethics
- Essentials of a Corporate Compliance Program
- GDPR, Data Privacy & Cyber Security
- Anti-bribery & Anti-corruption with special focus on the applicability of the US-FCPA & UK-BA to Indian Businesses
- POSH (Prevention of Sexual Harassment)

Importance of the Certification:

- For the Organisation:
This Course provides training and real time solutions to the relevant Compliance Professionals on how to drive an effective compliance and ethics program. It showcases the organisations' commitment to compliance and ethics thereby making it a part of its standard operating procedure.
- For an Individual:
Addresses the knowledge and skill gap in the understanding of compliance and organisational ethics. Increases employability, given that concepts like board evaluation, corporate governance and ethical practice are gaining corporate limelight especially after the recent board battles and corporate governance issues.

Who Should Attend?

- Compliance Professionals
- Individuals who seek an in-depth understanding of organisational ethics and compliance implementation
- Company Secretaries



"Leadership and learning are indispensable to each other"
- John F. Kennedy

Brief Overview:

FACULTY NAMES

TOPICS

Mr. Suhas Tuljapurkar
Managing Partner,
Legasis Partners and Founder Director,
Legasis Services Pvt. Ltd.

- Introduction to Compliance and Ethics
- Analyzing different types of compliances
- Conceptualising the difference between Compliance and Ethics
- POSH (Prevention of Sexual Harassment at Workplace)
- Corporate Compliance and Governance
- Analysis of Kotak Committee Report

Mr. Ramesh Sharma, IPS (Retd.)
Former Director General of Police,
Executive Director,
Legasis Services Pvt. Ltd.

- Anti-Bribery and Anti- Corruption
- Five Myths in Indian Context
- Organisational Culture in India: Case based analysis

Mr. Rajesh Bagga*
President and Group General Counsel,
Reliance ADA Group

- Compliance Training and Awareness
- Measuring effectiveness of Training Programs
- Budget Building for Compliance Program

Ms. Rinku Sharma *
Executive Vice President and
Chief Compliance Officer, SBI Card

- Establishing the Importance of Compliance Program
- Code of Conduct & Ethics
- Learning to develop Risk Based Compliance Program

Ms. Nehal Shah*
Head of Legal, Compliance and Secretarial,
YES Asset Management

- Compliance and Ethics Audit
- Engagement with the Regulator- Do's and Don'ts
- Regulatory audit and what it entails
- Stock Exchange and regulatory agencies

Mr. Apurv Sardeshmukh
Partner,
Legasis Partners

- Data Privacy and Cyber Security
- Conflict of Interest and Related Party Transactions
- Block Chain/ Virtual Currency and the Future
- GDPR

Dr. Anita Shantaram
Head - Ethics & Governance,
Legasis Services Pvt. Ltd.

- Organisational Ethics
- Creating and Developing an Ethical Culture

*There may be changes in the external faculty

"One of the best certification course that I have ever attended. It had the right mix of case studies, contents, current practices and lots of business insights. The venue, materials & facilitation was excellent."

-Sakshi Sehgal, Head - Ethics and Compliance, Sterlite Power Transmission Limited



The Compliance and Ethics Academy successfully completed two editions of the "Certified Compliance and Ethics Professional Course" at Taj Santacruz Mumbai and Grand Hyatt Mumbai on 18-20 January 2018 and 19-21 April 2018 respectively. The course was attended by Compliance Professionals and Company Secretaries from various organisations such as Dr. Reddys Lab, Forbes Marshall, Tata Steel, Aditya Birla, BIC Cello (India), TIAA Global, Marico, Coverstro, Sterlite, Volkswagen Finance, Blue Star and more.

FEE:

Rs. 65,000/- per person, plus tax amounting to Rs. 76,700/- (Inclusive of Examination and Certification charges)
 Rs. 50,000/- per person, plus tax amounting to Rs. 59,000/- (Charges without examination)
 (Additional charges for the Residential Program)

BANK DETAILS:

HDFC Bank Limited, IFSC Code: HDFC0000007, A/C No. 00072320005463

**LegaComply – A toolkit priced at Rs. 80,000/- will be given as part of the delegate kit.*

CALENDAR - COMPLIANCE AND ETHICS ACADEMY

Dates	19-21 July, 2018	9-11 August, 2018	15-17 November, 2018	17-19 January, 2019
Location	Bengaluru	Residential Course – Goa	Delhi	Mumbai

"The course, its contents, interaction with speakers and participants, every part of it, was really a learning experience. This will definitely help in working more effectively towards our job responsibilities."

- Pragya Shrivastava, Company Secretary and Compliance Officer, BITZER India Pvt. Ltd.

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